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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/733,289	12/08/2000	Xiao-Chun Mu	42390P8875	2980
21186	7590	10/05/2005	EXAMINER	
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH 1600 TCF TOWER 121 SOUTH EIGHT STREET MINNEAPOLIS, MN 55402			NGUYEN, DILINH P	
			ART UNIT	PAPER NUMBER
			2814	

DATE MAILED: 10/05/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

EX

<b>Office Action Summary</b>	<b>Application No.</b> 09/733,289	<b>Applicant(s)</b> MU ET AL.	
	<b>Examiner</b> DiLinh Nguyen	<b>Art Unit</b> 2814	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 July 2005.  
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.  
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4, 10-17 and 29 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
6) ☒ Claim(s) 1-4, 10-17 and 29 is/are rejected.  
7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.  
10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>7/11/05</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Information Disclosure Statement*

The Information Disclosure Statement filed on July 24, 2003 has not been placed in the application file; therefore, the information referred to therein has not been considered.

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chen et al. (U.S. Pat. 6160311) in view of Jimarez et al. (U.S. Pat. 6407334).

Chen et al. disclose a semiconductor device (cover fig.) comprising:

a heat sink 32 (column 3, lines 30-31);

at least one microelectronic die 31 having an active surface and a back surface, wherein at least one microelectronic die back surface adjacent to the heat sink;

a patterned adhesive layer 33 disposed between the die 31 and the heat sink 32;

and

an encapsulation material 36 (column 3, lines 58-59) disposed on the heat sink and the microelectronic die active surface.

Chen et al. fail to disclose the patterned adhesive layer 33 is a patterned thermally conductive adhesive.

Jimarez et al. disclose a patterned thermally conductive adhesive layer 44 (cover fig., column 3, lines 27-28) disposed between the at least one chip 34 and a heat sink 46. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Chen et al. to increase the heat dissipation between the die and the heat sink, as shown by Jimarez et al.

3. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chen et al. (U.S. Pat. 6160311) in view of Jimarez et al. (U.S. Pat. 6407334) and further in view of Woodward et al. (U.S. Pat. 4731700).

Chen et al. fail to disclose a microelectronic package core and wherein at least one die is disposed within at least one package core opening.

Woodward et al. disclose a semiconductor device (fig. 2, column 4, lines 12-24) comprising:

a ceramic member 14 having a first surface and an opposing second surface, the ceramic member having at least one opening defined therein extending from the ceramic member first surface to the ceramic member second surface, where the ceramic member second surface abuts the heat sink 12; and

wherein at least one die 16 is disposed within the ceramic member opening and adjacent the heat sink, the die having an active surface. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Chen et al. to provide an electrical crossover in the area above the die and increase in interconnect density, as shown by Woodward et al.

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4. Claims 1-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Eichelberger (U.S. Pat. 5250843) in view of Jimarez et al. (U.S. Pat. 6407334).

Eichelberger discloses a semiconductor device (fig. 1, column 13, lines 51 et seq.) comprising:

a heat sink 12 ;

at least one microelectronic die 14 having an active surface and a back surface, wherein at least one microelectronic die back surface adjacent to the heat sink;

a thin die attach material 16 disposed between the die and the heat sink (column 13, lines 64-66); and

an encapsulation material 18 disposed on the heat sink and the die active surface.

Eichelberger fails to disclose a thin die attach material 16 is a patterned thermally conductive adhesive layer.

Jimarez et al. disclose a patterned thermally conductive adhesive layer 44 (cover fig., column 3, lines 27-28) disposed between the at least one chip 34 and a heat sink 46. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Eichelberger to increase the heat dissipating between the die and the heat sink, as shown by Jimarez et al.

- Regarding claim 2, Eichelberger discloses a build up layer disposed on an upper surface of the encapsulant material.
- Regarding claim 3, Eichelberger discloses the build up layer comprises at least on conductive trace 20 disposed on the encapsulation material upper surface,

wherein a portion of the conductive trace extending through the encapsulation material to contact the microelectronic die active surface.

- Regarding claim 4, Eichelberger discloses the build up layer further includes at least one dielectric layer 24 disposed on at least a portion of the encapsulation material upper surface and at least on conductive trace, and at least one second conductive trace 26 extending through the dielectric layer to contact the conductive trace.

5. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over Eichelberger (U.S. Pat. 5250843) in view of Jimarez et al. (U.S. Pat. 6407334) and further in view of Woodward et al. (U.S. Pat. 4731700).

Eichelberger fails to disclose a microelectronic package core and wherein at least one die is disposed within at least one package core opening.

Woodward et al. disclose a semiconductor device (fig. 2, column 4, lines 12-24) comprising:

a ceramic member 14 having a first surface and an opposing second surface, the ceramic member having at least one opening defined therein extending from the ceramic member first surface to the ceramic member second surface, where the ceramic member second surface abuts the heat sink 12; and

wherein at least one die 16 is disposed within the ceramic member opening and adjacent the heat sink, the die having an active surface. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to

modify the device of Eichelberger to provide an electrical crossover in the area above the die and increase in interconnect density, as shown by Woodward et al.

6. Claims 10 and 15-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shen (U.S. Pat. 6368894) in view of Woodward et al. (U.S. Pat. 4731700).

Shen discloses a semiconductor device (figs. 1-2, column 4, lines 5 et seq.) comprising:

- a heat sink 33 (column 5, lines 27);

- a package core 1 having a first surface 10 and an opposing second surface 14, the package core having at least one opening 11 (fig. 2) defined therein extending from the package core first surface to the package core second surface;

- at least one die 3 (column 5, lines 30) disposed within at least one package core opening and adjacent the heat sink, at least one die having an active surface; and

- an encapsulation material 34 disposed on the die and in portions of at least one package core opening.

Shen fails to disclose where the microelectronic package core second surface abuts the heat sink.

Woodward et al. disclose a semiconductor device (fig. 2, column 4, lines 12-24) comprising: a ceramic member 14 having a first surface and an opposing second surface, wherein the ceramic member second surface abuts a heat sink 12. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Shen to provide good heat dissipation for the semiconductor package device, as shown by Woodward et al.

- Regarding claim 15, Woodward et al. disclose a thickness of the ceramic member 14 is greater than a thickness of at least one die 16.
- Regarding claim 16, Shen discloses the package core is a material selected from the group consisting of ceramics or metals (column 4, lines 5-10).

7. Claims 11-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shen (U.S. Pat. 6368894) in view of Woodward et al. and further in view of Eichelberger (U.S. Pat. 5250843).

Shen fails to disclose a build up layer disposed on an upper surface of the encapsulation material.

Eichelberger discloses a semiconductor device (fig. 1, column 13, lines 51 et seq.) comprising: a build up layer disposed on an upper surface of the encapsulation material 18 to provide interconnection pads (column 10, lines 54-57). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Shen to provide a direct interconnection between integrated circuit chips, as shown by Eichelberger.

- Regarding claim 12, Eichelberger discloses the build up layer comprises at least on conductive trace 20 disposed on the encapsulation material upper surface, wherein a portion of the conductive trace extending through the encapsulation material to contact the microelectronic die active surface.
- Regarding claim 13, Eichelberger discloses the build up layer further includes at least one dielectric layer 24 disposed on at least a portion of the encapsulation material upper surface and at least on conductive trace, and at least one second



conductive trace 26 extending through the dielectric layer to contact the conductive trace.

- Regarding claim 14, Shen discloses the encapsulation (34 and 24) covers the package core first surface.

8. Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shen (U.S. Pat. 6368894) in view of Woodward et al. and further in view of Jimarez et al. (U.S. Pat. 6407334).

Shen fails to disclose a patterned thermally conductive adhesive layer.

Jimarez et al. disclose a patterned thermally conductive adhesive layer 44 (cover fig., column 3, lines 27-28) disposed between the at least one chip 34 and a heat sink 46. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Shen to increase the heat dissipation between the die and the heat sink, as shown by Jimarez et al.

### ***Response to Arguments***

- In response to Applicant's argument that there is no motivation to combine the references, the test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981).
- The Applicant argues that "there is no teaching or suggestion in Chen to look to

Jimarez to find a patterned adhesive 44 in Jimarez.”

The Applicant’s arguments have been fully considered but they are not persuasive because Chen et al. disclose a patterned adhesive layer 33 disposed between the die 31 and the heat sink 32 (cover fig., column 3, lines 36-40). Chen et al. do not specifically point out that the adhesive layer 33 is a patterned thermally conductive adhesive, but Jimarez et al. disclose a patterned thermally conductive adhesive layer 44 (cover fig., column 3, lines 27-28) disposed between the at least one chip 34 and a heat sink 46. Therefore, it would have been obvious to one having ordinary skill in the art to select the patterned thermally conductive adhesive layer, as taught by Jimarez et al. into the device structure of Chen et al. to increase the heat dissipation between the die and the heat sink. Moreover, selection of a known material based on its suitability for its intended use supported a prima facie obviousness determination in *Sinclair & Carroll Co., Inc. v. Interchemical Corp.*, 325 U.S. 327, 65 USPQ 297 (1945).

- The Applicant argues that “Jimarez fails to teach an encapsulation material that is disposed on the die active surface and the heat sink, as required in claim 1.”

The Appellant’s arguments have been fully considered but they are not persuasive because this argument has no immediate apparent relevance to the issues presented by the rejection before us since an appellant can not show nonobviousness by attacking references individually wherein the rejection is based upon a combination of references. *In re Young*, 403 F. 2d 754, 757, 159 USPQ 725, 728 (CCPA 1968).

It should be noted that the rejection of claim 1 is not based on anticipation, but rather, is based on obviousness.

Examiner relies on the combined teachings at Chen et al. and Jimarez et al. Jimarez et al. is not relied on for teaching the encapsulation material that is disposed on the die active surface and the heat sink. Jimarez et al. is relied on for showing the patterned thermally conductive adhesive layer. The Examiner thus regards the Appellant's assertions as constituting evidence that the Appellant has failed to consider as a whole the prior art teachings disclosed by the combination of the references.

- In response to Applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case: Jimarez et al. disclose a patterned thermally conductive adhesive layer 44 (cover fig., column 3, lines 27-28) disposed between the at least one chip 34 and a heat sink 46. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Chen et al. to increase the heat dissipating between the die and the heat sink, as shown by Jimarez et al.

- The Applicant argues that Woodward's chip 16 and heat sink 12 is a layer of

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molybdenum 12c that is not taught as an adhesive of any type. Rather, item 12c is simply referred to as a part of the heat sink 12.

The Applicant's arguments have been fully considered but they are not persuasive because Woodward's layer 12c0 is an adhesive layer because the bottom surface of chip 160 is soldered to 12c0 (column 5, lines 35-36). The layer 12c0 is between the chip and the heat sink.

- In response to Applicant's argument that there are different technical challenges, such as there is no expectation of success to get a better pin-out or better ground the die and it is not obvious to combine the references, the test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981).
- In response to Applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case:

Woodward et al. disclose a semiconductor device (fig. 2, column 4, lines 12-24) comprising:

a ceramic member 14 having a first surface and an opposing second surface, the ceramic member having at least one opening defined therein extending from the ceramic member first surface to the ceramic member second surface, where the ceramic member second surface abuts the heat sink 12; and

wherein at least one die 16 is disposed within the ceramic member opening and adjacent the heat sink, the die having an active surface. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Chen et al. and Jimarez et al. to provide an electrical crossover in the area above the die and increase in interconnect density, as shown by Woodward et al.

- In response to Applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case: Jimarez et al. disclose a patterned thermally conductive adhesive layer 44 (cover fig., column 3, lines 27-28) disposed between the at least one chip 34 and a heat sink 46. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention

was made to modify the device of Eichelberger to increase the heat dissipating between the die and the heat sink, as shown by Jimarez et al.

- The Applicant argues that Eichelberger in view of Jimares does not teach the limitation of claim 1, "an encapsulation material disposed on said heat sink and said microelectronic die active surface" (claim 1).

The examiner respectfully disagrees because Eichelberger discloses an encapsulation material 18 disposed on said heat sink 12 and said microelectronic die active surface (fig. 1).

- The Applicant argues that the application of Woodward to Shen destroys and renders impossible the structures taught by Shen.

The Applicant's arguments have been fully considered but they are not persuasive because in this case:

Shen fails to disclose wherein the microelectronic package core (1) second surface abuts the heat sink.

Woodward et al. disclose a semiconductor device (fig. 2, column 4, lines 12-24) comprising: a ceramic member 14 having a first surface and an opposing second surface, wherein the ceramic member second surface abuts a heat sink 12.

- The Applicant argues that there is no location for Eichelberger's structures 18, 20, 24 and 26; the combination of Eichelberger with Shen and Woodward does not amount to the limitations of claims 11, 12, 13 or 14 and destroys and renders impossible the structures taught by Shen.

The Applicant's arguments have been fully considered but they are not persuasive because one of ordinary skill would have readily modified the structure of Shen by replacing the mounting chip 2 with Eichelberger's structures 18, 20, 24 and 26. Therefore, the combination of Shen with Eichelberger renders obvious the limitations of claims 11-14.

Eichelberger discloses a semiconductor device (fig. 1, column 13, lines 51 et seq.) comprising: a build up layer disposed on an upper surface of the encapsulation material 18 to provide interconnection pads (column 10, lines 54-57). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Shen and Woodward et al. to provide a direct interconnection between integrated circuit chips, as shown by Eichelberger.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

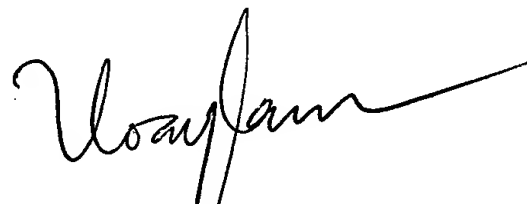
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DiLinh Nguyen whose telephone number is (571) 272-1712. The examiner can normally be reached on 8:00AM - 6:00PM (M-F).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on (571) 272-1705. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

DLN



HOAI PHAM  
PRIMARY EXAMINER